Purification of \( \alpha \)-lactalbumin from a prepurified acid whey: Ultrafiltration or precipitation

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Abstract – Acid casein whey is a co-product of casein manufacture that contains a protein, \( \alpha \)-lactalbumin, with high nutritional and biological properties. The target protein can be purified using a two-step cascade. The previously reported first step consists of ultrafiltration, which aims at producing a tailor-made permeate with a decreased content of contaminants (bovine serum albumin, immunoglobulins) down to zero and enhanced \( \alpha \)-lactalbumin/\( \beta \)-lactoglobulin ratio. The second step is described in the present work. Two routes were investigated. The ultrafiltration route consisted of a second ultrafiltration step with an appropriate membrane, transmembrane pressure, ionic strength and protein concentration. As expected, the purity of \( \alpha \)-lactalbumin was satisfactory (0.65) but the yield was poor (0.15) due to the low transmission of \( \alpha \)-lactalbumin (<0.2). The precipitation route (reversible precipitation of \( \alpha \)-lactalbumin) was more promising, provided proper conditions (initial protein concentration, precipitation pH, length of precipitation time and number of precipitate washings) were maintained. The purity was in the range 0.77–0.99 depending on the feed generated by the first step of the operation (microfiltration and ultrafiltration). The yield of the insoluble route was satisfactory (0.46–0.83). The role of operating parameters for both routes was investigated.

\( \alpha \)-lactalbumin / purification / process / whey

Résumé – Purification d’\( \alpha \)-lactalbumine à partir d’un lactosérum acide prépurifié : ultrafiltration ou précipitation. Le lactosérum acide, co-produit de la fabrication de caséines, contient une protéine, l’\( \alpha \)-lactalbumine, ayant des propriétés nutritionnelles et biologiques. La protéine peut être purifiée en deux étapes. La première étape, récemment rapportée, est une ultrafiltration qui vise à produire un perméat sur mesure pour la seconde étape avec une teneur quasi-nulle en protéines contaminantes (sérum albumine bovine, immunoglobulines) et un rapport \( \alpha \)-lactalbumine/\( \beta \)-lactoglobuline augmenté. La deuxième étape est l’objet du présent article. Deux voies étaient étudiées. La première utilisait une deuxième étape d’ultrafiltration avec une membrane et des conditions opératoires (pression transmembranaire, force ionique et concentrations en protéines) appropriées. La pureté de l’\( \alpha \)-lactalbumine était satisfaisante (0,65) mais le rendement faible (0,15) en raison de la faible transmission de l’\( \alpha \)-lactalbumine (<0,2). La seconde voie, basée sur la précipitation réversible de l’\( \alpha \)-lactalbumine, était plus prometteuse sous réserve de bien sélectionner la concentration initiale en protéines, le pH et la durée de précipitation, et le nombre de lavages du précipité. La pureté de l’ordre de 0,77–0,99 dépendait du produit obtenu après la
1. INTRODUCTION

Whey is a co-product of cheese-making and the casein industry. It represents a considerable amount of proteins and is produced in large quantities [15]. Several investigators have proposed a two-main-step process for the recovery of \( \alpha \)-lactalbumin, \( \alpha \)-LA. Most of the proposed schemes take advantage of selective and reversible aggregation of \( \alpha \)-LA (hereafter called the precipitation route) at acidic pH below its isoelectric pH [3, 7, 17–19, 23] as the first step in order to separate it from the main whey protein, \( \beta \)-lactoglobulin, \( \beta \)-LG, by sedimentation. The second step deals with the solubilisation of the co-precipitated fraction of \( \alpha \)-LA, bovine serum albumin, BSA, and immunoglobulins, Ig, by adjusting its pH to \( \sim \)7 at room temperature. \( \alpha \)-LA purity reported for the above two-step process is in the range 0.62–0.64 with a yield of 0.80–0.70, respectively [7, 20]. A \( \alpha \)-LA fraction from rennet casein whey is fully soluble in NaCl or CaCl\(_2\) with purity ranging between 0.56 and 0.79 and a yield of 0.81 to 0.65, respectively [4]. From Cheddar cheese whey, a \( \alpha \)-LA precipitated fraction ranging from 23 to 35.8\% of the total protein nitrogen is obtained [18]; unfortunately, the purity cannot be calculated as the contaminants of this fraction are not given. For the \( \beta \)-LG co-fraction both purity and yield could reach 0.85 [7]. Precipitation can also occur at pH 7.3 at 50 °C after addition of CaCl\(_2\) [22]. Permeate from microfiltration (MF) contains \( \alpha \)-LA and \( \beta \)-LG with a 1/1 w/w content, which are concentrated by ultrafiltration, UF, in a first step (ratio of 1/1) before being precipitated at pH 3.7; final \( \alpha \)-LA purity is close to 0.75.

High concentration of the conformer (A)-\( \alpha \)-LA from Cheddar whey has been reported [24]. The striking point is an acidification step at pH < 4.0 which induces a conformational change of \( \alpha \)-LA which becomes larger. Two routes are followed in order to obtain \( \alpha \)-LA concentrate. For the precipitation route, pH is raised to 4.6 at 25–40 °C; the precipitate fraction has \( \alpha \)-LA purity of about 0.28 (as referred to total protein). The ultrafiltration route process involves a concentration step (up to a volume reduction ratio, VRR, 10) with optional diafiltration, DF: \( \alpha \)-LA purity is between 0.37 and 0.65 (vs. total protein) depending on diafiltration volumes and the rig used. Numerous works aim at operating cross-flow or dead-end MF and UF in one or two steps, sometimes including a diafiltration step [1, 2, 10, 11, 12, 20]. It appears that \( \alpha \)-LA purity remains limited (0.12–0.3) unless the yield close to 0 permits a purity of 0.83 to be achieved [11].

Ion exchange chromatography is reported as an alternative to membrane processes [16, 21]. The range of \( \alpha \)-LA purity (vs. protein) can reach 0.6–0.68 with residual contamination of lactose [21] and glycomacropeptide (GMP) [16].

A cascade of two steps is proposed to enrich \( \alpha \)-LA purity [13]. The first step consists of an UF separation performed with proper operating conditions: a 300 kg·mol\(^{-1}\) molecular mass cut-off (MMCO) inorganic membrane, clarified acid casein whey, pH 7.0, ionic strength: 0.2 mol·L\(^{-1}\), protein concentration: 7 g·L\(^{-1}\), continuous concentration up to VRR 11, tangential flow velocity: 6 m·s\(^{-1}\), temperature 50 °C and transmembrane pressure: \( \Delta P \) 1.0 bar [15]. This first step yields a permeate with increased \( \alpha \)-LA purity (0.44 vs. 0.25...
\(\alpha\)-lactalbumin purification process

Initially) and a satisfactory yield (0.53 with continuous concentration). Such a permeate is a good example of tailor-made feed for the second step of the cascade.

The aim of the present work is to report on about the second step of the cascade according to two different routes. The objective of this work was to use the existing scientific knowledge regarding selective separation of proteins by ultrafiltration and of \(\alpha\)-LA by selective precipitation so as to show how to achieve high \(\alpha\)-LA purity by taking advantage of the major information known about the role of operation parameters. The first route consisted of an additional UF step, where operating conditions (protein concentration, ionic strength and transmembrane pressure) were varied to limit fouling and concentration polarization with the aim of getting a high \(\alpha\)-LA transmission/\(\beta\)-LG transmission ratio. From previous works cited in the above review and the basic principles which rule the combination purity-yield closely connected to protein transmission [13, 14], it is anticipated that in the ultrafiltration route any small increase in \(\alpha\)-LA purity will be detrimental to yield. In the second route, \(\alpha\)-LA was precipitated and then solubilised. The effect of different parameters which govern the precipitation reaction, such as \(\alpha\)-LA concentration, initial \(\alpha\)-LA purity, ionic strength, sodium citrate addition, and the temperature of the solubilisation step were studied. It will be shown that the insoluble route permitted the preparation of a \(\alpha\)-LA fraction with higher purity and yield as compared with previous patents and reports.

Currently, commercial powders with high \(\alpha\)-LA content contain 60 to 90% total protein referred to dry matter with \(\alpha\)-LA purity (referred to total protein) in the range 0.15–0.40 and a \(\alpha\)-LA/\(\beta\)-LG ratio of between 1 and 2. The market is around 400 t/y. The industrial manufacturing processes vary: chromatography, heat-coagulation or membrane separation (UF + MF).

We undertook this project after cautiously considering that the compulsory first stage was to show which process schemes permit the preparation of high purity \(\alpha\)-LA fractions. From there on, it will later be possible to figure out the evolution of \(\alpha\)-LA structure, functional and biological properties in each successive fraction at each step of the final process.

2. MATERIALS AND METHODS

2.1. Feeds

The feeds used in the present work were prepared according to the principles of \(\alpha\)-LA prepurification reported by Muller et al. [15]. Nonetheless, the actual operating conditions were adapted to the whey protein fractions specially selected for the present work.

Raw hydrochloric acid casein whey protein concentrates, Protarmor PS 75 and PS 90 were manufactured by Armor Protéines, Saint-Brice-en-Coglès, France. Detailed flow-sheets for the industrial manufacture of liquids PS 75 and PS 90 have been reported by Muller [13] and Gésan et al. [9]. PS 90 ultrafiltrate and PS 90 microfiltrate were prepared as described by Muller et al. [15] and were used as feeds for the ultrafiltration route (Fig. 1). Concentrated PS 90 ultrafiltrate and PS 75 microfiltrate, used for the precipitation route, were obtained according to Figure 1. The compositions of the four whey protein fluids used in this work are given in Tables I and II.

PS 90 ultrafiltrate was an appropriate material for exploring the route of the reversible thermal-isoelectric precipitation of \(\alpha\)-LA: owing to the fairly good initial purity of \(\alpha\)-LA (0.43; Tab. I) and the absence of BSA and IgG, high final \(\alpha\)-LA purity can be expected. However, \(\alpha\)-LA concentration was smaller than that required (>2 g·L\(^{-1}\)) to achieve an aggregation yield over 0.6 [4]. Therefore, PS 90 ultrafiltrate...
Skimmilk, 50 °C, pH 4.7
hydrochloric acid whey pH 4.7
2 UF + DF steps, 50 °C
PS 75 liquid (13 g protein·L⁻¹) pH 4.7(2)

pH 7.0(3)
MF (0.1 µm Membralox)(3), VRR = 5
permeate: PS 75 microfiltrate(1)(2)
2 UF + DF, 50 °C
PS 90 liquid, pH 7.0 (110 g protein·L⁻¹)
dilution 1:1(3) (58 g protein·L⁻¹)
MF (0.1 µm Membralox)(3), VRR = 2
PS 90 MF retentate(2)
aggregated denatured proteins
mainly β-lactoglobulin

UF (Céram, 480 kg·mol⁻¹) (1)(2)(3), VRR = 10
(Trα-LA = 0.1)
PS 90 Ultrafiltrate, pH 7.0, 0.2 mol NaCl·L⁻¹(1)(2)
UF (M5 Carbosep, 10 kg·mol⁻¹)(1)(2)(3), VRR = 7
Concentrated PS 90 ultrafiltrate, pH 7.0, 0.2 mol NaCl·L⁻¹(2)

Figure 1. Flow-sheet for the achievement of whey protein concentrates enriched in α-lactalbumin. Ultrafiltration route: PS 90 microfiltrate; PS 90 ultrafiltrate. Precipitation route: concentrated PS 90 ultrafiltrate; PS 75 microfiltrate.

(1) No turbidity occurred; (2) reverse phase chromatography profiles for α-LA and β-LG were identical to those for pure proteins from Sigma and from BiPro Sweet Whey Protein Isolate (manufactured by anion exchange chromatography process at pH 7.0); (3) performed on a laboratory scale.
DF = diafiltration; MF = microfiltration; UF = Ultrafiltration; VRR = volume reduction ratio; Trα-LA = α-lactalbumin transmission.
was concentrated up to a VRR of 7 by using a M5 Carbosep membrane, MMCO = 10 kg·mol⁻¹ (ORELIS, Saint-Maurice-de-Beynost, France); some losses of \( \alpha \)-LA (Tr_{\alpha\text{-LA}} in the range 0–0.07; Tr_{\beta\text{-LG}} in the range 0–0.01 in a 3-h UF run) yielded a decrease in \( \alpha \)-LA purity (0.35) (Tabs. I and II).

PS 75 microfiltrate contained a small amount of BSA and IgG (<2%, Tab. II) but had a short history (three filtration steps) and a low ionic strength. To avoid lowered \( \alpha \)-LA purity it was not concentrated by UF but freeze-dried.

### 2.2. Ultrafiltration route

Ultrafiltration of PS 90 microfiltrate and PS 90 ultrafiltrate was performed with a laboratory rig previously described [6]. The 50 kg·mol⁻¹ MMCO (average pore diameter: 12.4 nm) Céram membrane (TAMI Industries, Nyons, France) had

**Table I.** Main features of feeds used for the ultrafiltration route performed on a laboratory scale.

<table>
<thead>
<tr>
<th></th>
<th>PS 90 microfiltrate</th>
<th>PS 90 ultrafiltrate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total protein conc. (g·L⁻¹)</td>
<td>15</td>
<td>1.6</td>
</tr>
<tr>
<td>( \alpha )-LA conc. (g·L⁻¹)</td>
<td>5.0</td>
<td>0.7</td>
</tr>
<tr>
<td>Purity (-)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>( P_{\alpha\text{-LA}} )</td>
<td>0.33</td>
<td>0.43</td>
</tr>
<tr>
<td>( P_{\beta\text{-LG}} )</td>
<td>0.65</td>
<td>0.57</td>
</tr>
<tr>
<td>( P_{\text{BSA+IgG}} )</td>
<td>&lt;0.02</td>
<td>0*</td>
</tr>
<tr>
<td>( A_{600} ) (a.u.) (C_{tot} = 1.6 g·L⁻¹)</td>
<td>0.04</td>
<td>0.06</td>
</tr>
<tr>
<td>pH (50 °C)</td>
<td>7.0</td>
<td>7.0</td>
</tr>
<tr>
<td>( \chi ) (mS·cm⁻¹) (50 °C, C_{tot} = 1.6 g·L⁻¹)</td>
<td>2.18</td>
<td>22.00</td>
</tr>
</tbody>
</table>

**Table II.** Feeds used for the precipitation route, performed on a laboratory scale: protein composition, molar ratio CC₆/C\( \alpha \)-LA, initial conductivity, \( \chi \) and history of the whey protein concentrates.

<table>
<thead>
<tr>
<th></th>
<th>Concentrated PS 90 ultrafiltrate</th>
<th>PS 75 microfiltrate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total protein conc. (g·L⁻¹)</td>
<td>12.3</td>
<td>Freeze dried powder</td>
</tr>
<tr>
<td>( \alpha )-LA conc. (g·L⁻¹)</td>
<td>4.3</td>
<td>adjustable</td>
</tr>
<tr>
<td>Purity (-)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>( P_{\alpha\text{-LA}} )</td>
<td>0.35</td>
<td>0.17</td>
</tr>
<tr>
<td>( P_{\beta\text{-LG}} )</td>
<td>0.65</td>
<td>0.81</td>
</tr>
<tr>
<td>( P_{\text{BSA}} )</td>
<td>0.00*</td>
<td>0.015</td>
</tr>
<tr>
<td>( P_{\text{IgG}} )</td>
<td>0.00*</td>
<td>0.005</td>
</tr>
<tr>
<td>Molar ratio CC₆/C( \alpha )-LA (-)</td>
<td>7.0</td>
<td>11.0</td>
</tr>
<tr>
<td>( \chi ) (mS·cm⁻¹) (20 °C, pH 7.3, C = 6.4 g·L⁻¹)</td>
<td>17.80 (0.2 mol·NaCl·L⁻¹)</td>
<td>5.65</td>
</tr>
</tbody>
</table>

* Not detected at a total protein concentration of 12.3 g·L⁻¹; [ ] * performed on an industrial scale; + number of filtration steps performed according to Figure 1.
three channels 1.20 m in length, a 3.6 mm inner hydraulic diameter and a filtering area of 0.045 m². The support was of α-alumina with the filtering layer of zirconium oxide with a clean membrane hydraulic resistance of 1.6 × 10¹² m⁻¹. This membrane was selected because a high ratio $\frac{Tr_{\alpha-LA}}{Tr_{\beta-LG}}$ (Tr = transmission) was expected according to Ferry's equation [8].

Standard operating conditions were as follows: pH 7.0 (regular pH of both PS 90 microfiltrate and PS 90 ultrafiltrate); tangential flow velocity – 3.4 m·s⁻¹ (wall shear stress: 43 Pa) and dynamic counter-pressure (constant transmembrane pressure along the filtering path so as to reduce limiting phenomena (fouling, deposit and concentration polarisation); TMP = 1.0 bar; 50 °C, and continuous concentration mode up to a VRR after 3.5 h UF time depending on the UF flux, which varied with the nature and concentration of the feed used, PS 90 microfiltrate and PS 90 ultrafiltrate, and with ionic strength. The ionic strength defined below covers the added salts (NaCl) since the initial ionic content of the protein concentrate was shown to be negligible (around 0.005 mol·L⁻¹ equivalent NaCl through conductivity measurements) in all of the protein concentrates used in the experiments, due to the diafiltration steps performed in the industrial process scheme (Fig. 1). Three parameters known to be greatly involved in fouling and protein transmission were investigated in separate experiments where only part of the operating conditions were changed, i.e.: total protein concentration, $C_{tot}$, in combination with ionic strength, I: ($C_{tot} = 1.6$ g·L⁻¹; $I = 0.2$ mol·L⁻¹ NaCl), ($C_{tot} = 1.6$ g·L⁻¹; $0.04$ mol·L⁻¹ NaCl), ($C_{tot} = 7.0$ g·L⁻¹; $I = 0.04$ mol·L⁻¹ NaCl) with the feed PS 90 ultrafiltrate at TMP = 1.0 bar, on the one hand, and transmembrane pressure (TMP = 0.5, 1.0, and 2.0 bar) with PS 90 microfiltrate (7 g·L⁻¹; and I = 0.04 mol·L⁻¹) on the other hand. In the TMP series of experiments the observed VRR after 3 h UF was 3.3, 7.2 and 9.8, respectively, depending on flux. Thus, after a given time of UF, the VRR, the filtered volume and the retentate protein concentration were different. The VRR will be used as a more appropriate global macroscopic operating parameter than time to describe UF processing.

Prior to and after an UF experiment the membrane was cleaned according to a sequence: alkaline cleaning (P3 Ultrasil 13, Henkel Ecolab, Issy-les-Moulineaux, 1% w/w, pH 13, 85 °C, 30 min) and acid cleaning (0.06 mol·L⁻¹ HNO₃, pH 1.2, 60 °C, 20 min), each followed by a pure water rinse.

### 2.3. Precipitation route

Operating conditions for α-LA precipitation were as follows (unless specified): acidification with 2.5 N citric acid, heat treatment: 55 °C, 120 min; centrifugation: 4000 g, 30 min, 20 °C and two washings of the sediment with osmosed water.

Prior to the study of the process parameters the optimal precipitation pH was assessed for each feed vs. total protein concentration and ionic strength. This pH enabled the best α-LA precipitation yield.

The process parameters studied were:

- number of washing steps (1; 2) of the sediment and solubilisation solvent (water, 0.05 mol·L⁻¹ NaCl and 0.05 mol·L⁻¹ CaCl₂) at pH 7.5 with concentrated PS 90 ultrafiltrate;
- initial α-LA concentration, precipitation temperature and precipitation kinetics with PS 90 microfiltrate.

The operating conditions of the six experiments performed are collected together in Table III.

### 2.4. Analyses

The four main proteins were quantified by RP-HPLC according to Muller et al. [14]. Owing to the absolute error on protein concentration ($\Delta C = 22.5$ mg·L⁻¹), the relative error on each single protein concentration
**Table III.** Operating conditions for the series of experiments of the precipitation step of α-lactalbumin.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Series</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5*</th>
<th>6</th>
</tr>
</thead>
<tbody>
<tr>
<td>Feed</td>
<td>conc.</td>
<td>PS 90 UF</td>
<td>PS 75 MF</td>
<td>PS 75 MF</td>
<td>PS 75 MF</td>
<td>PS 75 MF</td>
<td>conc. PS 90 UF</td>
</tr>
<tr>
<td>C_{α-LA} (g·L⁻¹)</td>
<td>6.4</td>
<td>2.3–19.2</td>
<td>6.4</td>
<td>9.6</td>
<td>9.6</td>
<td>6.4</td>
<td></td>
</tr>
<tr>
<td>P_{α-LA} (-)</td>
<td>0.41</td>
<td>0.17</td>
<td>0.17</td>
<td>0.17</td>
<td>0.17</td>
<td>0.17</td>
<td></td>
</tr>
<tr>
<td>Precipitation pH</td>
<td>3.0–4.2</td>
<td>3.3–5.1</td>
<td>3.3–4.2</td>
<td>3.9</td>
<td>3.9</td>
<td>3.9</td>
<td></td>
</tr>
<tr>
<td>Ionic strength (mol·L⁻¹)</td>
<td>0.16</td>
<td>0</td>
<td>0.06</td>
<td>0</td>
<td>0</td>
<td>0.2 (NaCl)</td>
<td></td>
</tr>
<tr>
<td>Citrate (mol·L⁻¹)</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>8.45×10⁻³***</td>
<td>0</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>Temperature (°C)</td>
<td>55</td>
<td>55</td>
<td>55</td>
<td>35</td>
<td>55</td>
<td>55</td>
<td></td>
</tr>
<tr>
<td>Washing steps</td>
<td>2</td>
<td>2</td>
<td>2</td>
<td>2</td>
<td>1</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>Solubilisation solvent*****</td>
<td>H₂O</td>
<td>0.05 mol·L⁻¹ NaCl</td>
<td>H₂O</td>
<td>0.1 mol·L⁻¹ CaCl₂</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>0.05 mol·L⁻¹ CaCl₂</td>
<td></td>
<td></td>
<td></td>
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</tr>
</tbody>
</table>

Bold type: investigated parameter; * kinetics study: precipitation time 5–180 min; ** P_{α-LA} = 0.37–0.10 with addition of pure β-LG; *** NaH₂citrate addition to optimum pH + 0.3 pH and then H₃citrate addition down to optimum pH; **** pH adjusted to 7.5 in every experiment.

was ≤0.1 for BSA and IgGs in UF permeates; 0.005 for α-LA and β-LG in initial feeds, UF retentates and supernatants (precipitation route), and 0.04 for α-LA and β-LG in UF permeates.

### 2.5. Calculations

Protein transmission, Tr (Tr = \( \frac{C_p}{C_r} \)) with \( C_p, C_r \) protein concentration in the permeate, p, and in the retentate, r, was calculated with an error ≤0.05.

Protein purity, P, was calculated as \( P = \frac{C_i}{\Sigma C} \) with \( C_i \) concentration of protein i in a given stream and \( \Sigma C \), overall protein concentration in the stream). The relative error on α-LA purity in UF permeates was \( \frac{\Delta P}{P} \leq 0.09 \).

The relative error on purity of single proteins in the initial feed and of α-LA in supernatants was: \( \frac{\Delta P}{P} \leq 0.03 \).

The yield of the precipitated α-LA ratio, \( R_{α-LA} \), final α-LA amount recovered/overall α-LA amount implemented was calculated as:

\[
R_{α-LA} = 1 - \frac{C_{α-LA,s} \times V_s}{C_{α-LA,i} \times V_t} \tag{1}
\]

with \( C_{α-LA,s} \); concentration of α-LA of the first supernatant of centrifugation;

\( C_{α-LA,i} \); initial concentration of α-LA;

\( V_s \); volume of the first supernatant of centrifugation;

\( V_i \); total initial volume of implemented protein solution.

The relative error on \( \frac{\Delta V_s}{V_s} \) and \( \frac{\Delta V_i}{V_i} \) was \( \leq 0.05 \).

The relative error \( \frac{\Delta R}{R} \) was \( \leq 0.1 \).

The yield \( Y_{α-LA} \) of α-LA after the UF run and after the final step of resolubilisation in the precipitation route were calculated according to the same mathematical equations applied to the final supernatant of centrifugation performed after the solubilisation step:

\[
Y_{α-LA} = 1 - \frac{C_{α-LA,f} \times V_f}{C_{α-LA,i} \times V_t} \tag{2}
\]
with $C_{\alpha \text{-LA}, f}$: concentration of $\alpha$-LA of the final supernatant of centrifugation performed after the solubilisation step;

$C_{\alpha \text{-LA}, i}$: initial concentration of $\alpha$-LA;

$V_f$: volume of the final supernatant of centrifugation performed after the solubilisation step;

$V_i$: total initial volume of implemented protein solution.

The relative error on $\Delta V_f$ and $\Delta V_i$ was $\leq 0.005$.

The relative error on $Y_{\alpha \text{-LA}}$ was:

$$\frac{\Delta Y_{\alpha \text{-LA}}}{Y_{\alpha \text{-LA}}} \leq 0.05.$$

A few experiments of ultrafiltration and precipitation were duplicated. The reproducibility for flux, $J$, protein concentration and volume of the streams was good and the standard deviation was low: $J \pm 5 \text{L·h}^{-1} \cdot \text{m}^{-2}$; $\text{Tr}_{\alpha \text{-LA}} \pm 0.01$; $\text{Tr}_{\beta \text{-LG}} \pm 0.01$; $P \pm 0.05$; $Y \pm 0.06$.

3. RESULTS AND DISCUSSION

3.1. Ultrafiltration route

3.1.1. Comparison of two feeds (PS 90 microfiltrate; PS 90 ultrafiltrate)

In both UF runs flux declined from 85 to around 40 L·h$^{-1}$·m$^{-2}$ vs. VRR which increased with time up to around 8.0. Overall fouling and irreversible fouling were a little higher with the PS 90 microfiltrate. Figure 2 shows $\alpha$-LA and $\beta$-LG transmission versus VRR: $\text{Tr}_{\alpha \text{-LA}} > \text{Tr}_{\beta \text{-LG}}$ but $\text{Tr}_{\alpha \text{-LA}}$ was smaller than the theoretical transmission calculated according to Ferry’s equation when $\beta$-LG transmission was used for the determination of the fouled pore radius. Protein transmission with PS 90 microfiltrate was three to four times lower than with PS 90 ultrafiltrate. As transmissions of BSA and IgG contained in the PS 90 microfiltrate only were zero, $\alpha$-LA purity in the UF permeate was improved.

$\alpha$-LA purity was stable with VRR and similar for PS 90 ultrafiltrate and PS 90 microfiltrate: 0.51 (vs. 0.43 initially) and 0.56 (vs. 0.33 initially), respectively, but $\alpha$-LA yield (a function of both initial concentration and transmission) was 0.57 for PS 90 ultrafiltrate vs. 0.32 for PS 90 microfiltrate.

3.1.2. Ionic strength, $I$, and protein concentration, $C$ (feed: PS 90 ultrafiltrate)

Flux decline vs. VRR was similar, fast then slower, whatever $C$ and $I$ (Fig. 3a). The flux values were a little lower with both low $I$ and high protein concentration; at low $I$ (0.04 mol·L$^{-1}$), the higher the protein concentration, the smaller $J$. Transmission of $\alpha$-LA depended on $I$ only when VRR was $< 4$, but was fairly independent of $I$ at a higher VRR ($\text{Tr}_{\alpha \text{-LA}}$: 0.11 to 0.13) (Fig. 3b). $\beta$-LG transmission remained inferior to $\alpha$-LA transmission (Fig. 3c) and ranged from 0.15–0.04 (VRR 1-2) to 0.05–0.02 (end of the UF run).
Thus, both low ionic strength and low β-LG concentration helped to minimise β-LG transmission, close to 0.03. From the PS 90 ultrafiltrate, the purity was 0.66 and the yield was 0.28 for α-LA in the permeate (Fig. 4).

![Figure 3.](image-url)

**Figure 3.** Flux (a), transmission of α-lactalbumin ($T_{α-LA}$, b) and of β-lactoglobulin ($T_{β-LG}$, c) versus volume reduction ratio, VRR for total initial concentrations of PS 90 ultrafiltrate and ionic strength (NaCl). (-----) 1.6 g·L$^{-1}$, 0.20 mol·L$^{-1}$; (-----) 1.6 g·L$^{-1}$, 0.04 mol·L$^{-1}$; (-----) 7.0 g·L$^{-1}$, 0.04 mol·L$^{-1}$. Operating conditions: Céram 50 kg·mol$^{-1}$ membrane, see text for details.

3.1.3. Transmembrane pressure, TMP
0.5–2.0 bar (feed: PS 90 microfiltrate, 7.0 g·L$^{-1}$ protein concentration, $I = 0.04$ mol·L$^{-1}$)

The higher the TMP, the higher the flux, with a trend which suggests that a limiting flux could be attained at higher pressure (Fig. 5a). Figure 5b shows a noteworthy decrease in protein transmission with increasing TMP over 1 bar; thus, TMP in the range 0.5–1.0 bar is better in accordance with the results reported with PS 90 ultrafiltrate (3.1.1.; 3.1.2.).

3.1.4. Evaluation of the ultrafiltration route

From a starting feed with satisfactory initial α-lactalbumin purity (0.43 with PS 90 ultrafiltrate, Tab. I) the 50 kg·mol$^{-1}$ UF step produced a permeate enriched in α-LA with purity around 0.66 and poor yield of 0.28, although operated with favourable conditions of low ionic strength and low protein concentration. These disappointing
results could have been anticipated, since \(\alpha\)-LA purity can only be increased by decreasing \(\beta\)-LG transmission down to 0, which simultaneously decreases \(\alpha\)-LA transmission and consequently yield. Whey protein transmission in UF is governed by the combined effect of the average fouled pore size and electrostatic interactions of the fouled membrane, of which the charge is given by adsorbed \(\beta\)-LG and proteins in the fluid, which depend on protein concentration at the membrane wall and thereon retentate protein concentration and filtration flux. Our results, obtained through variations of proper operating conditions, illustrate those principles well [11].

Thus, the only way to significantly enhance \(\alpha\)-LA purity and yield is to operate successive UF steps, at least 3, for purity and to operate diafiltration steps for yield. It is likely that such a complicated process would be economically unacceptable.

### 3.2. Precipitation route

#### 3.2.1. Influence of processing conditions

The systematic investigations were mostly performed with the PS 75 microfiltrate (Tab. III). The main conclusions were as follows:

- on the whole, \(\alpha\)-LA purity in the range 0.77–0.83 and final yield in the range 0.71–0.46 were quite promising (Tab. IV);
- in the range of concentration of \(\alpha\)-LA between 6.4 and 9.6 g·L\(^{-1}\) and at pH of 3.9 a good precipitation yield (R > 0.88) (smaller at high pH and low concentration) was achieved;
- low I is to be preferred, since the precipitation yield was not improved when I varied from 0 to 0.16 mol·L\(^{-1}\); accordingly, WPC feed with zero ionic strength is the best feed;
- heat treatment was better at 55 °C than at 35 °C: the overall recovery yield, \(Y_{\alpha\text{-LA}}\) was 0.71 vs. 0.46 in spite of addition of citrate ions for calcium complexation at 35 °C (Tab. IV); further, such an addition might improve the yield at 55 °C. Besides, no significant difference for \(\alpha\)-LA purity (0.77/0.83) was observed (Tab. IV) despite a lower co-precipitation of BSA and Ig at a lower temperature;
- heat treatment time for 5–10 min at 55 °C allowed the achievement of good yields of precipitation (R\(_{\alpha\text{-LA}}\): 0.82–0.92). The stationary precipitation yield observed as early as 30 min was 1.19 time higher, R\(_{\alpha\text{-LA}}\) = 0.95–0.98. An assessment of the energy cost should be done to evaluate what heat treatment time should be selected;
- a single washing step was enough, since the second washing step caused losses of precipitate, detrimental to \(\alpha\)-LA yield, with no significant increase in \(\alpha\)-LA purity (Tab. IV);
– water (pH 7.5) was the simplest resolubilisation solvent since neither NaCl nor CaCl₂ improved α-LA purity, in contrast with what could be expected from rennet whey ([5]; Tab. IV). It is noteworthy that either apo-α-LA or α-LA-Ca²⁺ can be recovered depending on the nature of ions, Na⁺ or Ca²⁺, respectively, present in the resolubilisation solvent [5]. Further, by using Ca²⁺ as solubilisation solvent, IgG (when present in protein fraction) co-precipitated with α-LA remains insoluble, yielding improved final α-LA purity starting from rennet casein whey [5]. With our own starting material impoverished in Ig (and BSA), such a phenomenon was not observed. Furthermore, a remaining insoluble fraction was systematically observed with the PS 75 microfiltrate as a feed. It is likely that the insoluble matter is composed of BSA and IgG, which contaminated PS 75 microfiltrate contrarily to concentrated PS 90 ultrafiltrate. Indeed, BSA and IgG coprecipitate with α-LA in the precipitation step, but do not resolubilise during the resolubilisation step [3, 5].

The most striking performance of the precipitation route was achieved with the concentrated PS 90 ultrafiltrate, at a precipitation pH of 3.9 with one washing step and water (pH 7.5) as a solubilisation solvent (Tab. IV); α-LA purity > 0.94; yield in the range 0.55–0.83; no insoluble fraction remaining after the solubilisation step with pure water.

Besides, whatever the feed and process conditions, the supernatant produced by the 1st precipitation step was a quite pure β-LG fraction (Pβ-LG > 0.90) with a yield over 0.90 [13].

### 3.2.2. Evaluation of the precipitation route

The objective was to produce resolubilised α-LA with high purity and satisfactory yield. The existing knowledge about α-LA separation through its precipitation from rennet whey protein concentrate [5] and acid casein whey protein concentrate [9] was successfully adapted to UF and MF permeates from acid casein whey.

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**Table IV.** Performances of the precipitation route with acid casein whey (concentrated PS 90 ultrafiltrate and PS 75 microfiltrate) and with rennet casein whey [5]: more performing conditions.

<table>
<thead>
<tr>
<th>Conditions</th>
<th>Concentrated PS 90 ultrafiltrate</th>
<th>PS 75 microfiltrate</th>
<th>Rennet casein whey</th>
</tr>
</thead>
<tbody>
<tr>
<td>Initial Pα-LA + β-LG (-)</td>
<td>1.00</td>
<td>0.98</td>
<td>0.88</td>
</tr>
<tr>
<td>Initial Pα-LA (-)</td>
<td>0.35</td>
<td>0.17</td>
<td>0.26</td>
</tr>
<tr>
<td>Cα/LCα-LA (molar ratio) (-)</td>
<td>7</td>
<td>11</td>
<td>38</td>
</tr>
<tr>
<td>Initial Cα-LA (g·L⁻¹)</td>
<td>4.3</td>
<td>9.6</td>
<td>1.8</td>
</tr>
<tr>
<td>T (°C); t (min.)</td>
<td>55; 120</td>
<td>55; 120</td>
<td>35; 120</td>
</tr>
<tr>
<td>Pure water washing steps</td>
<td>2</td>
<td>1</td>
<td>2</td>
</tr>
<tr>
<td>Solubilisation solvent (pH 7.5)</td>
<td>H₂O</td>
<td>H₂O</td>
<td>CaCl₂</td>
</tr>
<tr>
<td>0.05 mol·L⁻¹</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>0.1 mol·L⁻¹</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Rα-LA, Precipitation (-)</td>
<td>0.87</td>
<td>0.96</td>
<td>0.88</td>
</tr>
<tr>
<td>Pα-LA, final supernatant ** (-)</td>
<td>0.96</td>
<td>0.94⁺ &gt;0.99</td>
<td>0.99⁺</td>
</tr>
<tr>
<td>Yα-LA, final supernatant *** (-)</td>
<td>0.66</td>
<td>0.83⁺</td>
<td>0.55</td>
</tr>
<tr>
<td>Insoluble (final resolubilisation step)</td>
<td>No</td>
<td>No</td>
<td>Yes</td>
</tr>
</tbody>
</table>

P: purity; R, Y: yield; * = calculated from mass balance; ** = reproducibility of purity: ± 0.05; *** = reproducibility of yield: ± 0.06.
With such feeds the optimum concentration of $\alpha$-LA for precipitation is 2–5 times higher than that for de-calcified $\alpha$-LA (rennet whey acidified to 3.9–4.0) as reported by Bramaud et al. [5], which indicates a worse efficiency, probably due to the thermal, physicochemical and mechanical history of the feeds used: concentrated PS 90 ultrafiltrate and PS 75 microfiltrate. The significant difference in the two fluids can be explained by the higher initial $\alpha$-LA purity in the ultrafiltrate. It is interesting that these two fluids yielded better performance of purity and yield as compared with what is reported when using rennet whey [3, 5], even though with the latter feed similar yield was achieved by processing smaller initial $\alpha$-LA concentration and lower pH. The major reasons for these good performances regarding the purity of $\alpha$-LA are the higher initial $\alpha$-LA purity (0.35 for concentrated PS 90 ultrafiltrate vs. 0.26 for rennet whey) and the absence of contaminating BSA and IgG in the PS 90 ultrafiltrate, and their very low concentration in the PS 75 microfiltrate. But, even if very low, that residual BSA and IgG concentration in a whey protein fraction should be avoided since it generated an insoluble fraction after the resolubilisation step, as for PS 75 microfiltrate. It generated insoluble fraction after the resolubilisation step. The purity of the $\beta$-LG co-fraction was also over 0.90 (no glycomacropeptide) with a very high overall yield, close to or over 0.90 [13].

Now, the major principles of the two-step process reported in this paper for preparing highly purified $\alpha$-LA (purity >0.9) with fairly good yield (up to 0.83) and with a high purity (>0.9) $\beta$-LG co-fraction are known. However, purity is far from being the only and major criterion, since functional and/or biological properties are required for this kind of purified protein fraction. A few major scientific and technological points will have to be tackled in future studies. The first ones are: what is the structure and what is the function of $\alpha$-LA in the successive streams obtained through such a process, including the starting fluid (rennet whey, sweet cheese whey, acid cheese whey, acid casein whey, ...)? The second one is: how to modify the process steps and/or the operating conditions so that the targeted structure and properties are preserved or altered as little as possible?

4. CONCLUSION

The novel cascade investigated was shown to be feasible and competitive to the process described by Bramaud et al. [5]. The first step of fundamental role aimed at producing a tailor-made feed with both enhanced $\alpha$-LA purity and decreased content of BSA and Ig. The second step performed according to the ultrafiltration route was strongly limited by insufficient yield due to a low transmission of $\alpha$-LA during UF, since the aim is to lower $\beta$-LG to 0, so as to get high purity of $\alpha$-LA in the permeate. As opposed to this deceiving step, the precipitation route appeared to be satisfactory for producing fully soluble $\alpha$-LA with a purity over 0.94 and an acceptable yield between 0.46 and 0.83.

The next step of the work should be devoted to the optimisation of the whole cascade with the aim of producing pure $\alpha$-LA in such a structure (N-like, A-like conformer, and intermediate states) that it keeps its targeted functional or biological properties throughout a process with a reduced number of steps and improved overall yield.

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LIST OF ABBREVIATIONS:

$\alpha$-LA: $\alpha$-lactalbumin; $\beta$-LG: $\beta$-lactoglobulin; BSA: bovine serum albumin; DF: diafiltration; GMP: glycomacropeptide;
**REFERENCES**


